



Section 1 - General Information			
a. State Entity Name:		b. Submission Date:	
c. Contact Name:		d. Contact Title:	
e. Contact Email:		f. Contact Phone:	
g. Estimated Cost:		h. Proposed Acquisition Method:	
i. Does the acquisition include Generative Artificial Intelligence (GenAI)? Select one.	<input type="checkbox"/> Yes – Completed the GenAI Risk Assessment (SIMM 5305-F) and determined the acquisition as moderate or high risk. CDT consultation Required. <input type="checkbox"/> Yes – Seeking to amend an already completed CDT consultation and assessment for GenAI. Provide prior CDT case number: <input type="checkbox"/> Yes – Completed the GenAI Risk Assessment (SIMM 5305-F) and determined the GenAI acquisition as a low risk. <input type="checkbox"/> Yes – Completed the GenAI Risk Assessment (SIMM 5305-F) and the GenAI has been disabled or turned off. <input type="checkbox"/> No (If this procurement does not contain GenAI, mark Section 3.5. as N/A.)		
j. Description of Acquisition: (please see instructions SIMM 71-A for more information)			

Section 2 – Procurement Authority (select one option below)	
<input type="checkbox"/>	1. This acquisition is subject to the California Department of Technology’s procurement authority because it is related to a new or active non-delegated IT project (meets one or more of the criteria listed in SAM § 4819.37) and either exceeds the state entity’s assigned DGS/PD Delegated Purchasing Authority dollar threshold <u>OR</u> the acquisition is exempt from DGS oversight. Provide the California Department of Technology Project Number:



<input type="checkbox"/>	2. This acquisition is subject to the Department of General Services' procurement authority because it is NOT related to a new or active non-delegated IT project (does NOT meet one or more of the criteria listed in SAM § 4819.37) and exceeds the state entity's assigned DGS-PD Delegated Purchasing Authority dollar threshold.
<input type="checkbox"/>	3. This acquisition is subject to the state entity's procurement authority because it is within the state entity's assigned DGS-PD Delegated Purchase Authority dollar threshold <u>OR</u> the acquisition is not related to a non-delegated IT project and exempt from DGS oversight.

Section 3 – Certifications

Yes	No	N/A	
<input type="checkbox"/>	<input type="checkbox"/>		1. The signatory confirms that the acquisition described herein is greater than \$5,000 and complies with the criteria and procedures for IT prescribed in SAM 4819.41 Certification of Compliance with IT Policies.
<input type="checkbox"/>	<input type="checkbox"/>		2. The signatory confirms that the acquisition described herein meets the requirements of Government Code 11135 applying § 508 of the Rehabilitation Act of 1973 as amended or qualifies for one or more exceptions.
<input type="checkbox"/>	<input type="checkbox"/>		3. The signatory confirms that the procurement authority for this acquisition is accurately noted in Section 2 of this form.
<input type="checkbox"/>	<input type="checkbox"/>		4. The signatory confirms that the acquisition described herein is excluded from the Department of Technology's IT Project Submittal and Approval Authority because it is excluded under SAM § 4819.32.
<input type="checkbox"/>		<input type="checkbox"/>	5. The signatory confirms that the acquisition described herein contains GenAI and meets the requirements of SAM § 4986.1 - 4986.13 Generative AI (GenAI) Policies. Mark 'N/A' if this procurement does not contain GenAI.
<input type="checkbox"/>		<input type="checkbox"/>	6. The signatory confirms that the acquisition described herein meets the requirements of SAM § 4854 and that the state entity has received an exemption from CDT, if necessary, to proceed with procuring an IT training contract directly from the vendor. Mark 'N/A' if this procurement does not contain IT training according to SAM § 4854.

Chief Information Officer (CIO) (Print Name)

CIO Signature/Designee

Date

¹Agency Information Officer (AIO) (Print Name)

AIO Signature/Designee

Date

¹ AIO approval is only required for Agency-affiliated state entities with an IT acquisition cost that exceeds the state entity's Department of Technology Project Cost Delegation or \$1 million, whichever is lower.



DGS/PD or CDT Use Only			
Agreement #	Inter-Agency Agreement #	LTB/NCB/SCR Justification #	Purchase Estimate #